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Separability of CP-graded ring extensions

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Abstract In this paper, we investigate separability of CP-graded ring extensions. With restrictions neither to graded *fields* nor to grading by *torsion-free* groups, we show that some results on graded field extensions given in Hwang and Wadsworth [Commun Algebra 27(2):821–840, 1999] hold.

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المخلص

نبحث في هذه الورقة قابلية الانفصال لتمديدات الحلقات المدرجة-CP. بشكل خاص، وبدون التقيد بحقول مدرجة أو افتراض خلو الزمر المدرجة من الالتواء، نثبت أن بعض النتائج حول تمديدات الحقول المدرجة المعطاة في [4] تتحقق.

1 Introduction

Let $(\Gamma, +)$ be an abelian group and R a unitary commutative Γ -graded ring, i.e., the ring R has a decomposition $R = \bigoplus_{\sigma \in \Gamma} R_{\sigma}$, a direct sum of additive subgroups, such that $R_{\sigma} R_{\tau} \subset R_{\sigma+\tau}$ for every $(\sigma, \tau) \in \Gamma^2$. Set $R^h = \bigcup_{\sigma \in \Gamma} R_{\sigma}$ the set of homogeneous elements of R . For every nonzero homogeneous element $x \in R_{\sigma}$, we write $\deg(x) = \sigma$ and we call it the degree of x . If every homogeneous component of R contains an invertible element, then R is called a CP-graded ring. When this occurs, then Γ is called the grade group of R and for every $\sigma \in \Gamma$, R_{σ} is a free R_0 -module of rank 1, which is generated by an invertible element u_{σ} . Since for every $(\sigma, \tau) \in \Gamma^2$, $\deg(u_{\sigma} u_{\tau}) = \sigma + \tau$, there exists a map $c : \Gamma^2 \rightarrow U(R_0)$ defined by $u_{\sigma} u_{\tau} = c_{\sigma, \tau} u_{\sigma+\tau}$, where $U(R_0)$ is the set of invertible elements of R_0 . In that way, the CP-graded ring will be denoted by $R_0[\Gamma, c]$. The graded ring R is said to be a graded field if every nonzero homogeneous element in R is invertible. In that case, R_0 is a field and for every $\sigma \in \Gamma$, R_{σ} is an R_0 -vector space of dimension 1.

In [4], Hwang and Wadsworth established some results on graded field extensions. Since their goal was to describe an algebraic extension theory of graded fields analogous to what is known for valued fields, they assumed that the grading groups are abelian *torsion free*. In this paper, these results are generalized to CP-graded ring extensions over a graded field.

Throughout this paper, $R = \sum_{\sigma \in \Gamma} R_0 u_{\sigma}$ is a graded field with grading group Γ and S is a commutative graded ring, which is an extension over R , with grading group Δ . We start showing that every homogeneous integral element of S has a minimal polynomial over R . In Sect. 2, a characterization of separability of CP-graded ring extensions, via the discriminant ideal, is given. In particular we show that some separability results given in [4] hold for more general graded fields and grading groups. A classification theorem is given.

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2 Preliminaries

The following proposition generalizes [1, Theorem 3, p. 29].

Proposition 2.1 *Let S/R be a CP-graded ring extension. Then S is a free R -module such that $[S : R] = [S_0 : R_0][\Delta : \Gamma]$.*

Proof For a subgroup Λ such that $\Gamma \subseteq \Lambda \subseteq \Delta$, let $S(\Lambda) := \bigoplus_{x \in \Lambda} S_x$. In particular, $S(\Gamma)$ is a graded ring with grading group Γ and $R \subseteq S(\Gamma) \subseteq S$. Define a new grading on S over the group Δ/Γ by taking $S_\sigma := \bigoplus_{x \in \sigma} S_x$, for every $\sigma \in \Delta/\Gamma$. Then S is a Δ/Γ -graded ring, whose homogeneous component of degree 0 is $S(\Gamma)$. Since every homogeneous component of S contains an invertible element, S with this Δ/Γ -grading is a CP-graded ring such that $S(\Gamma)$ is its homogeneous component of degree 0. In particular, S is a free $S(\Gamma)$ -module with a basis $\{w_\sigma, \sigma \in \Delta/\Gamma\}$. It follows that S is a free $S(\Gamma)$ -module of rank $[\Delta : \Gamma]$. On the other hand, for every $x \in \Gamma$, let $u_x \in R_x$ be an invertible element. Then $S_x = u_x \cdot S_0$. As $S_0 \otimes_{R_0} R = \sum_{\sigma \in \Gamma} S_0 \otimes_{R_0} R_\sigma$, $S_0 \otimes_{R_0} R$ is a graded ring with grading group Γ and whose homogeneous component of degree 0 is $S_0 \otimes_{R_0} R_0$. Hence the multiplication of S induces an isomorphism of graded rings $S_0 \otimes_{R_0} R \simeq S(\Gamma)$ defined by $\mu(s \otimes r) = sr$. It follows that $S(\Gamma)$ is a free R -module of rank $[S_0 : R_0]$. \square

Remark that if S is a finitely generated R -module, then $[\Delta : \Gamma]$ is called the ramification index of the extension S/R and $[S_0 : R_0]$ is called its residue degree.

The extension S/R is called a totally ramified graded ring extension if S is a finitely generated R -module and $[S : R] = [\Delta : \Gamma]$, i.e., $S_0 = R_0$.

The extension S/R is called unramified, if S is a finitely generated R -module, $\Delta = \Gamma$ and S_0/R_0 is a separable ring extension.

Recall that for an R -algebra S which is a free R -module of finite rank, every $x \in S$ induces an R -homomorphism l_x of S defined by $l_x(s) = xs$ for every $s \in S$. Define $T_{S/R}(x) = \text{tr}(l_x)$ the trace of l_x . Let M be a free R -submodule of S . Then $T_{S/R}$ is a linear form of S , which induces a bilinear form of M defined by $T_{M/R}(x, y) = T_{S/R}(xy)$ for every $(x, y) \in M^2$. The determinant of the bilinear form $T_{M/R}$ with respect to an R -basis (e_1, \dots, e_n) of M is denoted by $D(e_1, \dots, e_n)$ and called the discriminant of (e_1, \dots, e_n) . The discriminant ideal of the R -module M is the principal ideal generated by $D(e_1, \dots, e_n)$, where (e_1, \dots, e_n) is an R -basis of M . For more details see [3].

3 Simple extensions of graded rings

In [4], Hwang and Wadsworth have shown that if R is a graded field whose grading group is a torsion free abelian group, then R is an *integrally closed domain*. So every homogeneous integral element of S over R has a minimal polynomial in $R[X]$. In this section, we extend this result to the case where Γ is an arbitrary abelian group and we give a characterization of the separability of such an element.

Let $\sigma \in \Delta$ and let $P = \sum_{i=0}^n a_i X^i$ be a polynomial of $R[X]$ of degree n . P is said to be a σ -homogeneous polynomial if every $a_i \neq 0$, a_i is a homogeneous element of R and for every (i, j) such that $a_i \neq 0$ and $a_j \neq 0$, $\deg(a_i) + i\sigma = \deg(a_j) + j\sigma$. Let $\lambda = \deg(a_n) + n\sigma$ be the grade of the polynomial P . For every $\lambda \in \Gamma + \langle \sigma \rangle$, let $R[X]_\lambda$ be the set of σ -homogeneous polynomials of grade λ in $R[X]$. Then $R[X] = \sum_{\lambda \in \Gamma + \langle \sigma \rangle} R[X]_\lambda$ is a graded ring with respect to the semigroup $\Gamma + \langle \sigma \rangle$, which will be denoted $R[X]^{(\sigma)}$. In that way $P(X)$ is a homogeneous element of degree λ in the graded ring $R[X]^{(\sigma)}$. In particular, every polynomial of $R[X]$ splits as a sum of σ -homogeneous polynomials of $R[X]$.

Proposition 3.1 *Let α be a homogeneous element of S of degree σ . If α is integral over R , then α has a minimal polynomial over R , which is σ -homogeneous, i.e., the ideal $I(\alpha) = \{P \in R[X] \mid P(\alpha) = 0\}$ of $R[X]$ is a principal ideal, which is generated by a monic σ -homogeneous polynomial.*

Proof Let $x = \sum_{i=0}^n r_i \alpha^i \in R[\alpha]$. For every i , decompose r_i as a sum of homogeneous elements of R . Since α is a homogeneous element of S , $x = \sum_{g \in \Gamma + \langle \sigma \rangle} P_g(\alpha)$, where every $P_g(X)$ is a σ -homogeneous polynomial of $R[X]$ of grade g . Hence $R[\alpha]$ contains the homogeneous components of x . Consequently, $R[\alpha]$ is a graded R -algebra with respect to the semigroup $\Gamma + \langle \sigma \rangle$. Since α is integral over R , $R[\alpha]$ is a finitely generated R -module. From [1, Theorem 3, p. 29], $R[\alpha]$ is a free R -module of finite rank. Let $P_\alpha(X) = \det(XI_S - l_\alpha)$ be the characteristic polynomial of the R -homomorphism l_α of $R[\alpha]$, defined by $l_\alpha(x) = \alpha x$. Since the degree of the polynomial $P_\alpha(X)$ is $[R[\alpha] : R]$, $P_\alpha(X)$ is the minimal polynomial of α over R . Let $\mu_\alpha(X)$



be the $n\sigma$ -homogeneous component of $P_\alpha(X)$ in the graded ring $R[X]^{(\sigma)}$. Then $\mu_\alpha(X)$ is a monic polynomial of $R[X]$ of the same degree as $P_\alpha(X)$. Let $\mu_\alpha(X) = P_\alpha(X)Q(X) + r(X)$ be the Euclidean division. Then $\deg(r(X)) < \deg(\mu_\alpha(X))$. Since $\mu_\alpha(\alpha) = 0$ and $P_\alpha(X)$ is the minimal polynomial, $r(X) = 0$. Therefore, $P_\alpha(X) = \mu_\alpha(X)$ is a σ -homogeneous polynomial. \square

Corollary 3.2 *Let $s \in S_\sigma$ be an invertible homogeneous element, which is integral over R . Then*

- (1) $R[s]$ is a CP-graded ring with grading group $\Gamma + \langle \sigma \rangle$.
- (2) Let d be the cardinal order of $\Gamma + \langle \sigma \rangle / \Gamma$, x a nonzero homogeneous element of R of degree $d\sigma$ and $[R[s] : R] = n$. If s is invertible, then $\mu_s(X) = x^{\frac{n}{d}} H(x^{-1}X^d)$ is the minimal polynomial of s over R , where $H(X) \in R_0[X]$ is the minimal polynomial of $x^{-1}s^d$ over R_0 . In particular, $R[s]_0 = R_0[x^{-1}s^d]$.

Proof (1) Since s is integral over R , from Proposition 2.1, $R[s]$ is a free graded R -algebra of finite rank. Let $\mu_s(X) = X^n + \dots + a_0$ be the minimal polynomial of s . As s is invertible and $\mu_s(X)$ is homogeneous, a_0 is a nonzero homogeneous element of R . Thus, it is invertible in R and $s^{-1} = -a_0^{-1}(s^{n-1} + \dots + a_1) \in R[s]$. Therefore, $R[s] = \sum_{g \in \Gamma + \langle \sigma \rangle} R_g$ is a CP-graded ring with grading group $\Gamma + \langle \sigma \rangle$, where $R_g = \sum_{\tau + n\sigma = g} R_\tau s^n$ for every $g \in \Gamma + \langle \sigma \rangle$.

- (2) Denote $a_n = 1$ and let $0 \leq i < j \leq n$ such that $a_i \neq 0$ and $a_j \neq 0$. Since $\mu_s(X)$ is a σ -homogeneous polynomial, $(i - j)\sigma = \deg(a_j) - \deg(a_i) \in \Gamma$, and then d divides $i - j$. In particular, since $a_0 \neq 0$, if $a_i \neq 0$, then d divides i . Thus, $\mu_s(X) = \sum_{i=0}^n a_{di} X^{di}$. Therefore, $\mu_s(X) = b \sum_{i=0}^m b^{-1} x^i a_{di} (x^{-1}X^d)^i = bP((x^{-1}X^d))$, where $b = x^{\frac{n}{d}}$ and $n = [R[s] : R]$. On the other hand, since $\deg(x^{-1}s^d) = -d\sigma + d\sigma = 0$ and $\deg(b^{-1}x^i a_{di}) = (i.d - n)\sigma + \deg(a_{di}) = 0$, $P(X) \in R_0[X]$ and $R_0[x^{-1}s^d] \subset R[s]_0$. By Considering the degree, $P(X)$ is the minimal polynomial of $x^{-1}s^d$ over R , and then $R[s]_0$ is a free $R_0[x^{-1}s^d]$ -module of rank 1, i.e., $R[s]_0 = R_0[x^{-1}s^d]$. \square

Remark 3.3 With notation as in the proof of Corollary 3.2, let $s \in S_\sigma$ be an invertible homogeneous element which is integral over R . Then $\mu_s(X) = bP(x^{-1}X^d)$, where $P(X)$ is the minimal polynomial of $x^{-1}s^d$ over R . In particular, if $s^d \in R$, then $\mu_s(X) = X^d - s^d$.

4 Separable CP-graded ring extensions

In [2], without restrictions to torsion free of grading groups, some separability results given in [4] are generalized. In this section, we investigate separability on more general CP-graded ring extensions. We close this section by a classification Theorem.

Lemma 4.1 *If S/R is separable, then S is a free R -module of finite rank.*

Proof From [5, Proposition III.3.2], if S/R is separable, then S is a finitely generated R -module. Thus, from Proposition 2.1, S is a free R -module. \square

In the sequel of the paper, S/R is a CP-graded ring extension such that S is a finitely generated R -module and $\Delta/\Gamma = \{\bar{\sigma}_1, \dots, \bar{\sigma}_n\}$. For every i , fix w_{σ_i} a homogeneous element, of S , of degree σ_i . Specify $\sigma_1 = 0$ and $w_0 = 1$. Then $(w_{\sigma_1}, \dots, w_{\sigma_n})$ is an $S(\Gamma)$ -basis of S .

The following theorem gives a criterion to test if a CP-graded ring extension is separable.

Theorem 4.2 *Let S/R be a CP-graded ring extension such that S is a finitely generated R -module. Then S/R is separable if and only if S_0/R_0 is separable and $[\Delta : \Gamma]$ is invertible in R_0 .*

Proof It suffices to show that S/R is separable if and only if $S(\Gamma)/R$ and $S/S(\Gamma)$ are separable. Since $S(\Gamma) \simeq S_0 \otimes_{R_0} R$, $D_R(S(\Gamma)) = D_{R_0}(S_0)R$. On the other hand, for every (i, j) , there exists c_{σ_i, σ_j} an invertible element of $S(\Gamma)$ such that $w_{\sigma_i} w_{\sigma_j} = c_{\sigma_i, \sigma_j} w_{\sigma_i + \sigma_j}$. Thus, if $\sigma_i + \sigma_j \in \Gamma$, then the matrix of the $S(\Gamma)$ -endomorphism of S defined by the multiplication by $w_{\sigma_i + \sigma_j}$ is a diagonal matrix, and then $T_{S/S(\Gamma)}(w_{\sigma_i} w_{\sigma_j}) = nc_{\sigma_i, \sigma_j} w_{\sigma_i + \sigma_j}$. If $\sigma_i + \sigma_j \notin \Gamma$, then the matrix of the $S(\Gamma)$ -endomorphism of S defined by the multiplication by $w_{\sigma_i + \sigma_j}$ is a matrix with zero at the diagonal. Thus, $T_{S/S(\Gamma)}(w_{\sigma_i} w_{\sigma_j}) = 0$. Consequently, the determinant of the bilinear form $T_{S/S(\Gamma)}$, with respect to the basis $(w_{\sigma_1}, \dots, w_{\sigma_n})$, is $D(w_{\sigma_1}, \dots, w_{\sigma_n}) = sn^n$, where s is an invertible element of $S(\Gamma)$. Finally, S/R is separable if and only if n is invertible in R_0 and $D_{R_0}(S_0)R = R$. \square



Corollary 4.3 *Let S/R be a CP-graded ring extension such that S is a finitely generated R -module.*

- (1) *If S/R is a totally ramified CP-graded ring extension, then S/R is separable if and only if $[\Delta : \Gamma]$ is invertible in R_0 . In particular, $S/S(\Gamma)$ is separable if and only if $[\Delta : \Gamma]$ is invertible in R_0 .*
- (2) *If $\Delta = \Gamma$, then S/R is separable if and only if S_0/R_0 is separable. In particular, $S(\Gamma)/R$ is separable if and only if S_0/R_0 is separable.*
- (3) *S/R is separable if and only if $S(\Gamma)/R$ and $S/S(\Gamma)$ are separable.*

Proposition 4.4 *Let R be a graded field, which is a domain with quotient field K and S/R a CP-graded ring extension such that S is a finitely generated R -module. Then S/R is separable if and only if KS/K is separable.*

Proof In the proof of Theorem 4.2, we have shown that $D_R(S) = n^{nf}(D_{R_0}(S_0))^n R$, where $f = [S_0 : R_0]$. Since every R -basis of S is a K -basis of KS , $D_K(KS) = D_R(S)K$. Therefore $D_K(KS) = n^{nf}(D_{R_0}(S_0))^n K$. Consequently, KS/K is separable if and only if n is invertible in R and $D_{R_0}(S_0) = R_0$, i.e., S/R is separable. \square

The following theorem gives a classification of separable CP-graded algebras over a graded field.

Theorem 4.5 *Let S/R be a CP-graded ring extension. Then S/R is separable if and only if $S = \bigoplus_{i=1}^r S_i^{e_i}$, where S_i/R is a separable graded field extension for each i . Up to an isomorphism of R -algebras, this decomposition is unique.*

Proof Assume that S/R is separable. Then S_0/R_0 is separable too. Hence $S_0 = \bigoplus_{i=1}^r K_i$, where every K_i/R_0 is a separable extension of fields and let $(e_i)_{1 \leq i \leq r}$ be the corresponding primitive idempotents of S_0 ($1 = \sum_{i=1}^r e_i \in \bigoplus_{i=1}^r K_i$). Let $S_0 = \bigoplus_{i=1}^t K_i^{v_i}$, where $K_i^{v_i} = \bigoplus_{K_j \simeq K_i} K_j$ and let $S_i = \sum_{\sigma \in \Delta} K_i e_i u_\sigma$. Then S_i is a graded field with grading group Δ and $S \simeq \bigoplus_{i=1}^t S_i^{v_i}$ as R -graded algebras.

For the uniqueness, if $f : S \rightarrow \bigoplus_{i=1}^h T_i^{k_i}$ is an isomorphism of graded algebras. Then $f(S_0)$ is the homogeneous component of degree 0 of $\bigoplus_{i=1}^h T_i^{k_i}$. By Proposition 2.1, $h = r$ and for every i , $e_i = k_i$ and $T_i \simeq K_i$.

Conversely, assume that $S = \bigoplus_{i=1}^r S_i^{e_i}$, where S_i/R is a separable graded field extension for each i . Then $D_R(S) = \prod_{i=1}^r D_R(S_i) = R$, i.e., S/R is separable. \square

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